

## General information about company

Scrip code	517330
Name of the company	CMI Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	6293877			6293877	42.59	6293877		6293877	42.59
(B)	Public	6806	8483580			8483580	57.41	8483580		8483580	57.41
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	6809	14777457			14777457	100	14777457		14777457	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder	No. Of Shares Underlying Outstanding	No. of Shares Underlying Outstanding	No. Of Shares Underlying Outstanding convertible	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in
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	(II)	convertible securities (X)	Warrants (Xi)	securities and No. Of Warrants (Xi) (a)	of diluted share capital (XI)= (VII) +(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
(A)	Promoter & Promoter Group				42.59	6293877	100			6293877
(B)	Public				57.41	0	0			8089954
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	6293877	42.59			14383831

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A	Number of Voting Rights held in each class of securities (IX)	
								No of Voting (XIV) Rights	Total as a % of Total Voting

							+B+C2)	Class eg: X	Class eg:y	Total	rights
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/ Hindu undivided Family	3	6293877			6293877	42.59	6293877		6293877	42.59
Sub-Total (A)(1)		3	6293877			6293877	42.59	6293877		6293877	42.59
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		3	6293877			6293877	42.59	6293877		6293877	42.59
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	5	1514233			1514233	10.25	1514233		1514233	10.25
(g)	Insurance Companies	1	32000			32000	0.22	32000		32000	0.22
Sub-Total (B)(1)		6	1546233			1546233	10.46	1546233		1546233	10.46
( 3 )	Non-institutions										

(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6275	2724526			2724526	18.44	2724526		2724526	18.44
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	30	2573519			2573519	17.42	2573519		2573519	17.42
(e)	Any Other (specify)	495	1639302			1639302	11.09	1639302		1639302	11.09
Sub-Total (B)(3)		6800	6937347			6937347	46.95	6937347		6937347	46.95
Total Public Shareholding (B)=(B)(1) +(B)(2)+(B)(3)		6806	8483580			8483580	57.41	8483580		8483580	57.41
<b>C</b>	<b>Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder</b>										
Total ( A+B +C2 )		6809	14777457			14777457	100	14777457		14777457	100
Total (A+B +C )		6809	14777457			14777457	100	14777457		14777457	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				42.59	6293877	100			6293877
Sub-Total (A) (1)				42.59	6293877	100			6293877
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				42.59	6293877	100			6293877
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				10.25	0	0			1514233
(g)				0.22	0	0			32000
Sub-Total (B) (1)				10.46	0	0			1546233

( 3 )	Non-institutions							
(a(i))				18.44	0	0		2375000
(a(ii))				17.42	0	0		2573519
(e)				11.09	0	0		1595202
Sub-Total (B) (3)				46.95	0	0		6543721
Total Public Shareholding (B)=(B)(1) +(B)(2)+(B) (3)				57.41	0	0		8089954
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total ( A+B +C2 )				100	6293877	42.59		14383831
Total (A+B +C )				100	6293877	42.59		14383831

### Individuals/Hindu undivided Family

Searial No.	1	2	3	
Name of the Shareholders (I)	Amit Jain	Dhruv Jain	Vishwa Prabha Jain	<a href="#">Click here to go back</a>
PAN (II)	AAIPJ3521H	APJPJ2517D	AAIPJ3520G	Total
No. of fully paid up equity shares held (IV)	5093877	500000	700000	6293877

No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV) + (V) + (VI)	5093877	500000	700000	6293877
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	34.47	3.38	4.74	42.59
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	5093877	500000	700000	6293877
Class eg:y				
Total	5093877	500000	700000	6293877
Total as a % of Total Voting rights	34.47	3.38	4.74	42.59
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				



No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	34.47	3.38	4.74	42.59
Number of Locked in shares (XII)				
No. (a)	5093877	500000	700000	6293877
As a % of total Shares held (b)	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	5093877	500000	700000	6293877
Reason for not providing PAN				
Reason for not providing PAN				

## Foreign Portfolio Investors

Searial No.	1	2	
Name of the Shareholders (I)	GMO EMERGING DOMESTIC OPPORTUNITIES FUND	GMO EMERGING MARKETS FUND	<a href="#">Click here to go back</a>
PAN (II)	AABTG5059J	AAATG3065D	Total
No. of fully paid up equity shares held (IV)	1178365	293346	1471711
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV) +(V)+ (VI)	1178365	293346	1471711
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.97	1.99	9.96
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	1178365	293346	1471711
Class eg:y			
Total	1178365	293346	1471711
Total as a % of Total Voting rights	7.97	1.99	9.96

No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	7.97	1.99	9.96
<b>Number of Locked in shares (XII)</b>			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	1178365	293346	1471711
<b>Reason for not providing PAN</b>			

Reason for not providing PAN

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Searial No.	1	2	3	4	
Name of the Shareholders (I)	Jaideep Narendra Sampat	Kusum Agarwal	Pulkit.n.sekhsaria	Shubham Gupta	<a href="#">Click here to go back</a>
PAN (II)	ADYPS0778C	ADQPA5843A	AALPS0211N	AWEPG2804Q	Total
No. of fully paid up equity shares held (IV)	200000	555582	155000	275000	1185582
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV) + (V) + (VI)	200000	555582	155000	275000	1185582
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.35	3.76	1.05	1.86	8.02
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	200000	555582	155000	275000	1185582
Class eg:y					

Total	200000	555582	155000	275000	1185582
Total as a % of Total Voting rights	1.35	3.76	1.05	1.86	8.02
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	1.35	3.76	1.05	1.86	8.02
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0

Number of equity shares held in dematerialized form (XIV)	200000	555582	155000	275000	1185582
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Reason for not providing PAN

Reason for not providing PAN

### Any Other (specify)

Searial No.	1	2	
Category	Bodies Corporate	NRI – Repat	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Cni Research Limited	Prashant Omprakash Kothari	<a href="#">Click here to go back</a>
PAN (II)	AACCC2842H	ADTPK4169L	Total
No. of the Shareholders (I)	1	1	0
No. of fully paid up equity shares held (IV)	300000	215000	0
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			

Total nos. shares held (VII) = (IV) + (V) + (VI)	300000	215000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.03	1.45	0
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	300000	215000	0
Class eg:y			
Total	300000	215000	0
Total as a % of Total Voting rights	2.03	1.45	0
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	2.03	1.45	0
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#### Number of Locked in shares (XII)

No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	300000	215000	0

#### Reason for not providing PAN

Reason for not providing PAN